Attachment A General Instructions:

Each State must provide the information indicated below on its TANF program regardless of the funding source -- i.e., no matter whether the State used segregated Federal TANF funds, segregated State TANF funds, or commingled funds to pay for the benefit or service.

If the State elects to report on other benefits or activities provided through other program funding streams, please mention it after the TANF-funded benefits or activities for each item.

1. The State's definition of each work activity.

Kentucky's definitions for work activities are listed in the TANF State Plan, "Kentucky Works" section, "Components" subsection, pages 16 to 19. The TANF Work Verification Plan describes each activity, pages 2 to 25.

2. A description of the transitional services provided to families no longer receiving assistance due to employment.

Transitional services provided to families who are no longer receiving assistance due to employment are listed in the TANF State Plan, "Transitional Services for Families No Longer Receiving Cash Assistance" section, "Work Incentive Program (WIN)" subsection, pages 41 to 42.

3. A description of how a State will reduce the amount of assistance payable to a family when an individual refuses to engage in work without good cause pursuant to 45 CFR 261.14 of this chapter.

The penalties to a family when an individual refuses to participate in work activities without good cause are described in the TANF State Plan, "Kentucky Works Program" section, "Kentucky Works Penalties" subsection, pages 22 to 23, and the "KWP 3-Month Sanction Policy" subsection, page 26.

- 4. The average monthly number of payments for child care services made by the State through the use of disregards, by the following types of child care providers:
 - i. Licensed/regulated in-home child care: 0
 - ii. Licensed/regulated family child care: 183
 - iii. Licensed/regulated group home child care: 19
 - iv. Licensed/regulated center-based child care: 46
- v. Legally operating (i.e., no license category available in State or locality) in-home child care provided by a non-relative: 12
- vi. Legally operating (i.e., no license category available in State or locality) in-home child care provided by a relative: 17
- vii. Legally operating (i.e., no license category available in State or locality) family child care provided by a non-relative: 28
 - viii. Legally operating (i.e., no license category available in State or

locality) family child care provided by a relative: 6

- ix. Legally operating (i.e., no license category available in State or locality) group child care provided by a non-relative: 0
- x. Legally operating (i.e., no license category available in State or locality) group child care provided by a relative: 0
- xi. Legally operated (i.e., no license category available in State or locality) center-based child care. 11
- 5. If the State has adopted the Family Violence Option and wants Federal recognition of its good cause domestic violence waivers under 45 CFR 260.50-58, then provide (a) a description of the strategies and procedures in place to ensure that victims of domestic violence receive appropriate alternative services and (b) an aggregate figure for the total number of good cause domestic waivers granted.
- (a) The descriptions of the strategies and procedures in place to ensure victims of domestic violence receive appropriate alternative services are found in the TANF State Plan, "Kentucky Transitional Assistance Program (K-TAP) Cash Assistance" section, "Victims of Domestic Violence" subsection, pages 11 to 12, "Kentucky Works Program" section, "Participation" subsection, pages 12 to 13, and "Components" subsection, pages 16 to 19. (b) The average monthly number of individuals granted good cause for FY 2009 was 19.
- 6. A description of any nonrecurrent, short-term benefits (as defined in 45 CFR 260.31(b)(1)) provided, including:
- i. The eligibility criteria associated with such benefits, including any restrictions on the amount, duration, or frequency of payments;
- <u>ii. Any policies that limit such payments to families that are eligible for TANF assistance or that have the effect of delaying or suspending a family's eligibility for assistance;</u>
- iii. Any procedures or activities developed under the TANF program to ensure that individuals diverted from assistance receive information about, referrals to, or access to other program benefits (such as Medicaid and food stamps) that might help them make the transition from welfare to work. The information regarding nonrecurrent, short-term benefits is provided in the TANF State Plan, "Diversion Program" section, "Family Alternatives Diversion (FAD)" subsection, pages 40 to 41. FAD is funded with TANF funds.
- 7. A description of the grievance procedures the State has established and is maintaining to resolve displacement complaints, pursuant to section 407(f)(3) of the Social Security Act. This description must include the name of the State agency with the lead responsibility for administering this provision and explanations of how the State has notified the public about these procedures and how an individual can register a complaint.

 A description of the procedures established and maintained to resolve displacement complaints is provided in the TANF State Plan, "Kentucky Works Program" section, "Resolution of Displacement Complaints"

subsection, page 21. The agency or office sponsoring the work activity signs an agreement with the Kentucky Cabinet for Health and Family Services and a contract with the employment and training provider, to ensure the work activity does not: (1) Result in the displacement of any currently employed worker or position, including partial displacement, such as a reduction in hours of non-overtime work, wages or employment benefits; (2) Result in the filling of a position: (a) When any other person is on layoff from the same or equivalent job within that organization; (b) When an employer terminated any regular employee or reduced its workforce with the effect of filling the vacancy created; (3) Infringe in any way upon promotional opportunities of any currently employed individual; and (4) Result in the filling of any established unfilled position vacancy by a participant. Grievances and/or complaints are forwarded to the Department for Community Based Services, Kentucky Cabinet for Health and Family Services, for resolution through the conciliation and/or administrative hearing process. A description of these processes may be found in the TANF State Plan in the "Kentucky Works" section, "Conciliation" subsection, page 22 and the "Miscellaneous" section, "Hearing Rights" subsection, page 45.

- 8. A summary of State programs and activities directed at the third and fourth statutory purposes of TANF (as specified at 45 CFR 260.20(c) and (d) of this chapter).
- a. Summarize below, the State programs and activities directed at preventing and reducing the incidence of out-of-wedlock pregnancies and establishing annual numerical goals for preventing and reducing the incidence of these pregnancies (TANF purpose 3):

A description of services to prevent and reduce the incidence of out-of-wedlock pregnancies is included in the TANF State Plan, "Miscellaneous" section, "Activities to Reduce Teen Pregnancy" subsection, pages 45 to 47, "Family Planning Services" subsection, page 47, and "HANDS (Health Access; Nurturing Development Services)" subsection, pages 47 to 48.

b. Summarize below, the State programs and activities directed at encouraging the formation and maintenance of two-parent families (TANF purpose 4):

A description of services to encourage the formation and maintenance of two (2) parent families is included in the TANF State Plan, "Family Services" section, "Family Services" subsection, pages 29 to 30.

9. An estimate of the total number of individuals who have participated in subsidized employment under §261.30(b) or (c) of this chapter. 17

Attachment B Family Drug Court Grantee Information

State KENTUCKY	Fiscal Year 2010
<u> </u>	<u>- 1000a: 100:</u>

Program Information

Provide the following information for EACH PROGRAM (according to the nature of the benefit or service provided) for which the State claims MOE expenditures. Complete and submit this report in accordance with the attached instructions.

1. Name of Benefit or Service Program:

Family Drug Court Program

- 2. Description of the Major Program Benefits, Services, and Activities:
 Family Drug Court Program is a court-managed drug intervention program located in designated counties throughout the state, designed to provide a cost-effective alternative to traditional case processing in dependency, neglect and abuse cases. A description of the major program benefits, services and activities are included in the TANF State Plan, "Family Services" section, "Family Drug Court Program" subsection, pages 31 to 33.
- 3. Purpose(s) of Benefit or Service Program:

Drug intervention, treatment for substance abuse or dependency.

- 4. Program Type. (Check one)
- 5. Description of Work Activities (Complete only if this program is a separate State program):
- 6. Total State Expenditures for the Program for the Fiscal Year: \$250,000
- 7. Total State MOE Expenditures under the Program for the Fiscal Year: \$250,000
- 8. Total Number of Families Served under the Program with MOE Funds: 123

This last figure represents (Check one):

- The average monthly total for the fiscal year. The total served over the fiscal year.
- 9. Financial Eligibility Criteria for Receiving MOE-funded Program Benefits or Services:

A description of the financial eligibility criteria for benefits or services for Family Drug Court Program is found in the TANF State Plan, "Family Services" section, "Family Drug Court Program" subsection, pages 31 to 33.

- 10. Prior Program Authorization: Was this program authorized and allowable under prior law? (Check one)
- Yes ⊙ No
- 11. Total Program Expenditures in FY 1995 (NOTE: Provide only if response on question 10 is No): 10 \$0

Attachment B Sobriety Treatment and Awareness Recovery Team (S.T.A.R.T.)

Grantee Information

State KENTUCKY	Fiscal Year 2010
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Program Information

Provide the following information for EACH PROGRAM (according to the nature of the benefit or service provided) for which the State claims MOE expenditures. Complete and submit this report in accordance with the attached instructions.

1. Name of Benefit or Service Program:

Sobriety Treatment and Awareness Recovery Team (S.T.A.R.T.)

- 2. Description of the Major Program Benefits, Services, and Activities:
- S.T.A.R.T. is a substance abuse early intervention and treatment initiative to improve the methods of keeping children safe by focusing on families. A description of the major program benefits, services and activities are included in the TANF State Plan, "Family Services" section, "Sobriety Treatment Recovery Team (S.T.A.R.T.)" subsection, pages 33 to 35.
- 3. Purpose(s) of Benefit or Service Program:

To develop a safe, nurturing and stable living situation for children as rapidly and responsibly as possible.

- 4. Program Type. (Check one)
- TANF State
- <u>5. Description of Work Activities (Complete only if this program is a separate State program):</u>
- 6. Total State Expenditures for the Program for the Fiscal Year: \$2,067,044
- 7. Total State MOE Expenditures under the Program for the Fiscal Year: \$2,067,044
- 8. Total Number of Families Served under the Program with MOE Funds: 176

This last figure represents (Check one):

- The average monthly total for the fiscal year. The total served over the fiscal year.
- 9. Financial Eligibility Criteria for Receiving MOE-funded Program Benefits or Services:

A description of the financial eligibility criteria for benefits or services for S.T.A.R.T is found in the TANF State Plan, "Family Services" section, "Family Services" subsection, pages 33 and 35.

10. Prior Program Authorizati	on: Was this	program	authorized	and a	allowable
under prior law? (Check one)				•	

O Yes

No

11. Total Program Expenditures in FY 1995 (NOTE: Provide only if response on question 10 is No):

\$\frac{1}{4}\$ \$0

Attachment B Family Preservation Grantee Information

State KENTUCKY

Fiscal Year 2010

Program Information

Provide the following information for EACH PROGRAM (according to the nature of the benefit or service provided) for which the State claims MOE expenditures. Complete and submit this report in accordance with the attached instructions.

2. Description of the Major Program Benefits, Services, and Activities:

1. Name of Benefit or Service Program:

TANF Family Preservation

TANF Family Preservation Program offer 24 hours a day, 7 days a week availability to families referred for services. This allows for immediate response to crises. Family Preservation Program service workers engage the family for a holistic and interactive assessment of the family to identify their strengths and capabilities as well as their needs. Services to families are goal directed and workers partner with each family to develop goals unique to each family. Services provided include but are not limited to parenting education, child development training, skill based teaching,

household maintenance education, job readiness training, community resource linkage, emotion management skills, and concrete services. A description of the major program benefits, services and activities are included in the TANF State Plan, the "Family Services" section, "Family Services" subsection, pages 29 and 30.

3. Purpose(s) of Benefit or Service Program:

TANF Family Preservation Program is a short term, intensive, in-home crisis intervention resource based on the Homebuilders® model intended to prevent unnecessary placement of children, maintain children safely in their home, and facilitate the safe and timely return home for a child in placement.

- 4. Program Type. (Check one)
- TANF State
- 5. Description of Work Activities (Complete only if this program is a separate State program):
- 6. Total State Expenditures for the Program for the Fiscal Year: \$5,293,147
- 7. Total State MOE Expenditures under the Program for the Fiscal Year: \$5,293,147
- 8. Total Number of Families Served under the Program with MOE Funds: 973

This last figure represents (Check one):

○ The average monthly total for the fiscal year. ○ The total served over the fiscal year.

9. Financial Eligibility Criteria for Receiving MOE-funded Program Benefits or Services:

A description of the financial eligibility criteria for receiving MOE-funded program benefits or services are included in the TANF State Plan, the "Family Services" section, "Family Services" subsection, pages 29 and 30.

10. Prior Program Authorization: Was this program authorized and allowable under prior law? (Check one)

○ Yes ⊙ No

11. Total Program Expenditures in FY 1995 (NOTE: Provide only if response on question 10 is No): 4

Attachment B Family Preservation Grantee Information

State KENTUCKY

Fiscal Year 2010

Program Information

Provide the following information for EACH PROGRAM (according to the nature of the benefit or service provided) for which the State claims MOE expenditures. Complete and submit this report in accordance with the attached instructions.

1. Name of Benefit or Service Program:

TANF Family Preservation

2. Description of the Major Program Benefits, Services, and Activities:

TANF Family Preservation Program offer 24 hours a day, 7 days a week availability to families referred for services. This allows for immediate response to crises. Family Preservation Program service workers engage the family for a holistic and interactive assessment of the family to identify their strengths and capabilities as well as their needs. Services to families are goal directed and workers partner with each family to develop goals unique to each family. Services provided include but are not limited to parenting education, child development training, skill based teaching, household maintenance education, job readiness training, community resource linkage, emotion management skills, and concrete services. A description of the major program benefits, services and activities are included in the TANF State Plan, the "Family Services" section, "Family Services" subsection, pages 29 and 30.

3. Purpose(s) of Benefit or Service Program:

TANF Family Preservation Program is a short term, intensive, in-home crisis intervention resource based on the Homebuilders® model intended to prevent unnecessary placement of children, maintain children safely in their home, and facilitate the safe and timely return home for a child in placement.

- 4. Program Type. (Check one)
- TANF State
- 5. Description of Work Activities (Complete only if this program is a separate State program):
- 6. Total State Expenditures for the Program for the Fiscal Year: \$5,293,147
- 7. Total State MOE Expenditures under the Program for the Fiscal Year: \$5,293,147
- 8. Total Number of Families Served under the Program with MOE Funds: 973

This last figure represents (Check one):

○ The average monthly total for the fiscal year. ○ The total served over the fiscal year.

9. Financial Eligibility Criteria for Receiving MOE-funded Program Benefits or Services:

A description of the financial eligibility criteria for receiving MOE-funded program benefits or services are included in the TANF State Plan, the "Family Services" section, "Family Services" subsection, pages 29 and 30.

10. Prior Program Authorization: Was this program authorized and allowable under prior law? (Check one)

○ Yes ⊙ No

11. Total Program Expenditures in FY 1995 (NOTE: Provide only if response on question 10 is No): 4

Attachment B Out-of-Home Care (OOHC) Grantee Information

State KENTUCKY

Fiscal Year 2010

Program Information

Provide the following information for EACH PROGRAM (according to the nature of the benefit or service provided) for which the State claims MOE expenditures. Complete and submit this report in accordance with the attached instructions.

1. Name of Benefit or Service Program:

Out-of-Home Care (OOHC)

2. Description of the Major Program Benefits, Services, and Activities:
Children served in this program have either been committed to the department and placed in OOHC or are at risk of commitment and will be placed in OOHC. The program targets children ages 10-17 years old who can safely be maintained in or returned to their home. Services include clinical assessments, therapeutic child support services, parent development program, and crisis intervention services, available 24 hours per day and seven days per week for up to four months (a two month extension is possible on an as-needed basis). The community collaborative services include Impact Plus, Community Mental Health Centers, schools, faith-based services, housing, transportation, and medical services that can be utilized for sustained self-sufficiency. There is follow-up with the family at 3 months, 6 months and 1 year to assess the success of the program.

3. Purpose(s) of Benefit or Service Program:

The purpose of the OOHC program is to develop in-home services for TANF eligible clients that will: safely divert from OOHC children committed to DCBS and at risk of commitment & placement in OOHC; return children who have recently been placed in OOHC but with in-home services could be safely returned to their home; and prevent adoption disruption. Through a variety of in-home services children will safely remain in, or safely return to, their homes without additional abuse or neglect.

- 4. Program Type. (Check one)
- TANF State
- 5. Description of Work Activities (Complete only if this program is a separate State program):
- 6. Total State Expenditures for the Program for the Fiscal Year: \$2,450,603
- 7. Total State MOE Expenditures under the Program for the Fiscal Year: \$2,450,603
- 8. Total Number of Families Served under the Program with MOE Funds: 579

This last figure represents (Check one):

- 9. Financial Eligibility Criteria for Receiving MOE-funded Program Benefits or Services:

A description of the financial eligibility criteria for benefits or services for OOHC is found in the TANF State Plan, "Family Services" section, "Family Services" subsection, pages 29 and 30.

- 10. Prior Program Authorization: Was this program authorized and allowable under prior law? (Check one)
- 11. Total Program Expenditures in FY 1995 (NOTE: Provide only if response on question 10 is No):

 \$\frac{10}{40}\$ \$\$

Attachment B Family Alternative Diversion (FAD) Grantee Information

State KENTUCKY Fiscal Year 2010

Program Information

Provide the following information for EACH PROGRAM (according to the nature of the benefit or service provided) for which the State claims MOE expenditures. Complete and submit this report in accordance with the attached instructions.

1. Name of Benefit or Service Program:

Family Alternative Diversion (FAD)

2. Description of the Major Program Benefits, Services, and Activities:

FAD is a diversion program for self-supporting families or families who could be self-supporting, if short-term needs are met. A description of the major program benefits, services and activities are included in the TANF State Plan, "Diversion Program" section, "Family Alternatives Diversion (FAD)" subsection, page 40.

3. Purpose(s) of Benefit or Service Program:

FAD provides short-term temporary assistance to stabilize families and maintain self-sufficiency as an alternative to applying for on-going cash assistance. FAD is available to K-TAP eligible families, not currently receiving cash payments, which are at or below the gross income limit for K-TAP for the appropriate family size.

- 4. Program Type. (Check one)
- TANF State
- <u>5. Description of Work Activities (Complete only if this program is a separate State program):</u>
- 6. Total State Expenditures for the Program for the Fiscal Year: \$216,978
- 7. Total State MOE Expenditures under the Program for the Fiscal Year: \$216,978
- 8. Total Number of Families Served under the Program with MOE Funds: 106

This last figure represents (Check one):

- The average monthly total for the fiscal year. The total served over the fiscal year.
- 9. Financial Eligibility Criteria for Receiving MOE-funded Program Benefits or Services:

A description of the financial eligibility criteria for receiving MOE-funded program benefits or services are included in the TANF State Plan, the "Diversion Program" section, "Family Alternatives Diversion (FAD)" subsection, page 40.

10. Prior Program Authorization: Was this program authorized and allowable under prior law? (Check one)

○ Yes • No

11. Total Program Expenditures in FY 1995 (NOTE: Provide only if response on question 10 is No):

\$\frac{1}{4} \ \\$0\$

Certification Certify:

This certifies that all families for which the State claims MOE expenditures for the fiscal year meet the State's criteria for "eligible families."



Name Chanel M. Morris

<u>Title</u> Financial Operations Specialist

Date Submitted 05/23/2011

Approved OMB No. 0970-0248 Form ACF-204, expires 04/30/2009.